



# TRANSMITTAL FORM

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| Total Number of Pages in This Submission | Application Number   | 10/712,062              |
|  | Filing Date          | November 14, 2003       |
|  | First Named Inventor | Shunpei YAMAZAKI et al. |
|  | Group Art Unit       | 2826                    |
|  | Examiner Name        | F. Abraham              |
| Attorney Docket Number                   |                      | 0756-7218               |

## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosures<br>1.<br>2.<br>3. PTO 1449 Form<br>4.<br>5.<br>6. |
| Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.  |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Eric J. Robinson, Reg. No. 38,285<br>Robinson Intellectual Property Law Office, P.C.<br>PMB 955<br>21010 Southbank Street<br>Potomac Falls, VA 20165 |
| Signature               |  |
| Date                    | 2-22-06  |

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Attorney Docket No. 0756-7218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/712,062

Filed: November 14, 2003

For: SEMICONDUCTOR DEVICE AND  
METHOD OF FABRICATING SAME

) Group Art Unit: 2826

) Examiner: Fetsum Abraham

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Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

An RCE was filed in this application on February 8, 2006, and no further Office Action has been received. Therefore no fee is required.

Respectfully submitted,

Eric J. Robinson  
Reg. No. 38,285

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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| Substitute for form 1449A/PTO  |      | <b>Complete if Known</b> |                         |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary)<br><b>FEB 27 2008</b><br>PATENT & TRADEMARK OFFICE |      | Application Number       | 10/712,062              |
|  |      | Filing Date              | November 14, 2003       |
|  |      | First Named Inventor     | Shunpei YAMAZAKI et al. |
|  |      | Group Art Unit           | 2826                    |
|  |      | Examiner Name            | Fetsum Abraham          |
| Sheet 1  | of 1 | Attorney Docket Number   | 0756-7218               |

#### U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|----------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
|                      |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
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#### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or<br>Applicant of Cited<br>Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|----------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|--|---|----------------|
|                      |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |  |   |                |
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#### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials  | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the<br>item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),<br>publisher, city and/or country where published. | T <sup>2</sup>     |
|-----------------------|--------------------------|---|--------------------|
|                       |                          | Office Action dated August 3, 1998 for Application Serial No. 08/784,293.   |                    |
|                       |                          | Office Action dated February 11, 1999 for Application No. 08/784,293.   |                    |
|                       |                          | Office Action dated January 17, 2003 for Application No. 08/784,293.  |                    |
| Examiner<br>Signature |                          |   | Date<br>Considered |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.